



Ethics & Conflicts of Interest

Live Webinar

WEDNESDAY, MARCH 12, 2008 ~ 2:30 PM – 4:30 PM EST

Attend a live seminar without leaving the office!

All financial institutions must have a written Code of Conduct or Ethics Policy, and all financial institutions must provide periodic training to assist their employees in distinguishing between acceptable and unacceptable business practices. This webinar will cover conflicts of interests, personal conduct and confidentiality.

PROGRAM HIGHLIGHTS:

- Conflicts of Interest - other outside employment, receiving gifts or bequests from customers, making loans to benefit employee or affiliate, misuse of bank assets, misuse of bank position, personal transactions with bank
- Personal Conduct - personal finances, community activities, political activities, illegal activities
- Confidentiality - customer information, bank proprietary information, insider information

Plus frequent question and answer sessions throughout this presentation.

WHO SHOULD ATTEND: This webcast seminar will be useful to any person involved in core banking operations, from tellers to the top. Each individual involved in core banking operation handles sensitive information on a daily basis. Accordingly, they should be equipped to handle the situations which they run into.

SEMINAR PRESENTER: Adam LaBoda, Esq. is an attorney with Spencer Fane Britt & Browne LLP where he specializes in the representation of financial institutions. Adam was awarded his juris doctor from the University of Kansas Law School. Prior to joining Spencer Fane, Adam served as a law clerk for Chief Judge Lee M. Jackwig, United States Bankruptcy Court for the Southern District of Iowa. He is a member of the Missouri Bar and the Kansas Bar Associations, as well as a member of the Kansas and Missouri Bankers Associations, and the Kansas City Bankruptcy Bar Association. He is licensed to practice law in Missouri and Kansas, as well as the federal district courts in Kansas and the Western District of Missouri.

WHAT IS A WEBINAR? A Webinar offers you the convenience of attending a training session in your own facility. A Webinar combines the clarity of an audio teleconference with the interactivity and visual presentation of the Internet. You pay by the location, not by the person.

All you need to participate is a phone connection and an Internet connection. Don't have an Internet connection? You can still participate in only the audio session!

The session will allow you to ask questions, participate in live surveys and see the slides as the seminar leader presents the material.

CAN'T ATTEND AT THE SCHEDULED TIME? No problem. Just purchase the program for future use. You will receive a CD, written materials and website address. **Plus, you can use these materials as many times and in as many departments or branches as you wish!**

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Please call Scott Briggs at 860-886-6153 x3 if you have any questions.